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### NOTICE OF ALLOWANCE AND FEE(S) DUE

25908

7500

05/18/2009

NOVOZYMES NORTH AMERICA, INC. 500 FIFTH AVENUE SUITE 1600 NEW YORK, NY 10110 EXAMINER

SAIDHA, TEKCHAND

ART UNIT PAPER NUMBER

1652

DATE MAILED: 05/18/2009

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
•	10/586,026	07/13/2006	Wenping Wu	10515.204-US	7916

TITLE OF INVENTION: FUNGAL CELL WALL DEGRADING ENZYME

	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
•	nonprovisional	NO	\$1510	\$300	\$0	\$1810	08/18/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

appropriate. All further indicated unless correct maintenance fee notifica	ed below or directed otl	ng the Patent, advance of herwise in Block 1, by (	orders and notification of (a) specifying a new con	f maintenance fees respondence address	will be s; and/or	mailed to the current or (b) indicating a separ	correspondence address as ate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  25908 7590 05/18/2009				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
NOVOZYME: 500 FIFTH AVI SUITE 1600	I S a ti	Ce hereby certify that t tates Postal Service ddressed to the Ma ansmitted to the USI	rtificate his Fee( with suf il Stop PTO (57	e of Mailing or Transn s) Transmittal is being ficient postage for first ISSUE FEE address a 1) 273-2885, on the da	nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.		
NEW YORK, N	IY 10110			(Depositor's name)			
							(Signature)
			Ĺ				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/586,026 TITLE OF INVENTION	07/13/2006 I: FUNGAL CELL WAI	L DEGRADING ENZY.	Wenping Wu ME			10515,204-US	7916
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	JE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0		\$1810	08/18/2009
EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
SAIDHA, T	EKCHAND	1652	424-009400	_			
"Fee Address" ind PTO/SB/47; Rev 03-( Number is required.  3. ASSIGNEE NAME A PLEASE NOTE: Un	ND RESIDENCE DATA less an assignee is ident th in 37 CFR 3.11. Com	" Indication form ned. Use of a Customer A TO BE PRINTED ON	listed, no name will THE PATENT (print or data will appear on the	atively, agle firm (having as or agent) and the nar ttorneys or agents. If be printed.  type) c patent. If an assig an assignment.	a memb nes of u no nam	p to he is 3lentified below, the do	cument has been filed for
Please check the appropri	riate assignee category or	r categories (will not be p	rinted on the patent):	☐ Individual ☐ C	Corporati	on or other private grou	p entity Government
	are submitted:  No small entity discount p # of Copies	4b. Payment of Fce(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
5. Change in Entity Sta	itus (from status indicate as SMALL ENTITY stati	,	b. Applicant is no l	onger claiming SMA	LL EN	ГІТҮ status. See 37 СF.	R 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	nd Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademar	ed from anyone other tha k Office.	n the applicant; a reg	istered :	attorney or agent; or the	assignee or other party in
Authorized Signature				Date			
Typed or printed name				Registration	No		
an application. Confiden submitting the complete this form and/or suggest	tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DO	5 U.S.C. 122 and 37 CFR c USPTO. Time will vary rden, should be sent to the	. 1.14. This collection is y depending upon the in ne Chief Information Of	estimated to take 12 dividual case. Any c ficer, U.S. Patent and	minutes omment L Traden	s to complete, including s on the amount of tim nark Office, U.S. Depar	by the USPTO to process); gathering, preparing, and e you require to complete tment of Commerce, P.O. or Patents, P.O. Box 1450,

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/586,026	10/586,026 07/13/2006 Wenping Wu		10515.204-US	7916
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NOVOZYMES N	NORTH AMERICA,	SAIDHA, TEKCHAND		
500 FIFTH AVEN	UE	ART UNIT	PAPER NUMBER	
SUITE 1600 NEW YORK, NY	10110		1652	
MEW TOKK, IVI	10110		DATE MAILED: 05/18/200	9

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 331 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 331 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/586,026	WU ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Tekchand Saidha	1652	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not includ will be mailed in due	ed course. <b>THIS</b>
1. $\square$ This communication is responsive to $2/11/2009$ .			
2. ☑ The allowed claim(s) is/are <u>46-57</u> .			
3. ☐ Acknowledgment is made of a claim for foreign priority ur  a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	be been received. be been received in Application No cuments have been received in this i	national stage applica	
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> <li>CORRECTED DRAWINGS (as "replacement sheets") must</li> </ol>	es reason(s) why the oath or declara at be submitted.	tion is deficient.	IOTICE OF
(a) 🔲 including changes required by the Notice of Draftspers	on's Patent Drawing Review ( P <b>T</b> O-	948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			e back) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT</li> </ol>			Note the
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 2/25/2009  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ☑ Examiner's Amendn 8. ☑ Examiner's Stateme 9. ☐ Other	(PTO-413), e nent/Comment	owance

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#### Examiner's Amendment/Notice of Allowability

1. Amendment filed on 2/11/2009, in reply to Non-Final Office Action mailed 10/09/2008 is acknowledged. Information Disclosure Statement (PTO-1449) filed 2/25/2009 has been considered. A signed copy of PTO-1449 is provided with this Office Action.

- 2. Claims 46-57 are present and under consideration in this application.
- 3. Claims 46-57 are allowed, subject to the following Examiner's Amendment.
- 4. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Elias Lambris on May 11, 2009.

# Amend claims as follows:

Claim 55, line 1, after 'comprising' delete 'a' and replace with 'the'.

Claim 56, line 1, after 'comprising' delete 'a' and replace with 'the'.

Claim 57, line 1, after 'comprising applying' delete 'a' and replace with 'the'.

5. The following is an examiner's statement of reasons for allowance:

Applicants' arguments filed 2/11/2009 were found to be persuasive in view of claim amendment(s) made by the Applicants as well as Examiner's amendment(s) presented here. The claims are unobvious and patentable.

- 6. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."
- 7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tekchand Saidha whose telephone number is (571) 272

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0940. The examiner can normally be reached on 8.30 am - 5.00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nashaat T. Nashed can be reached on (571) 272 0934. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Tekchand Saidha/ Primary Examiner, Art Unit 1652 Recombinant Enzymes, 02A65 Remsen Bld. 400 Dulany Street, Alexandria, VA 22314 Telephone: (571) 272-0940 May 11, 2009